

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

International application of

Date: August 7, 2006

Tanaka Takashi et al.

Examiner: Unknown

U.S. Appln. No.: 10/580,352 ✓ :

Art Unit: Unknown

Int'l Appln. No.: PCT/US2004/041309 :

Int'l Filing Date: December 10, 2004 :

Docket No.: OT-5403

Title: CAR FALL-PREVENTION APPARATUS

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith a copy of (a) attached forms PTO/SB/08A and PTO/SB/08B, which lists all patents, publications, applications, or other information submitted for consideration by the Office; and (b) a legible copy of each document required by 37 C.F.R. § 1.98(a)(2). Since this Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, no fee or certification under 37 C.F.R. § 1.97(e) is required.

Consideration of this Information Disclosure Statement is respectfully requested.

Respectfully submitted,

Date: August 7, 2006

By Lisa A. Bongiovi
Lisa A. Bongiovi
Registration No. 48,933

Otis Elevator Company
Ten Farm Springs
Farmington, CT 06032
Tel: (860) 676-5743
Fax: (860) 998-3392



CERTIFICATE OF MAILING

I hereby certify that the correspondence attached hereto is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Signature

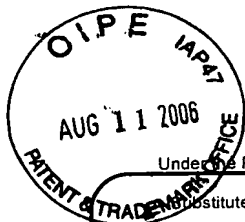
Ana R. Rivera
Typed or printed name

August 8, 2006
Date

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Enclosures:

1. Transmittal Letter; and
2. Information Disclosure Statement with references and PTO/SB/08A and PTO/SB/08B.



PTO/SB/08A (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/580,352
Filing Date	05/23/2006
First Named Inventor	Tanaka Takashi
Art Unit	unknown
Examiner Name	unknown
Attorney Docket Number	OT-5403

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 177,290	05-09-1876	Smith	
		US- 226,330	04-06-1880	Lee	
		US- 255,646	03-28-1882	McCarroll	
		US- 282,763	08-07-1883	Parker et al.	
		US- 642,448	01-30-1900	Holmes	
		US- 1,165,929	12-28-1915	Zaradzki	
		US- 2,503,954	04-11-1950	Lindahl	
		US- 5,601,157	02-11-1997	Barth	
		US- 5,727,657	03-17-1998	Foelix	
		US- 5,771,995	06-30-1998	Cooney et al.	
		US- 5,806,633	09-15-1998	Macuga	
		US- 6,138,798	10-30-2000	Macuga	
		US- 6,736,242	05-18-2004	Nygren	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 97/23399	07-03-1997	Macuga		
		EP 1 052 212	11-15-2000	Mueller et al.		
		JP 10-167611	06-23-1998	Toshiyuki et al.		
		JP 2005-178997	07-07-2005	Takashi et al.		
		JP 3209931	07-13-2001	Hitachi Bldg Systems Co,		

Examiner
Signature

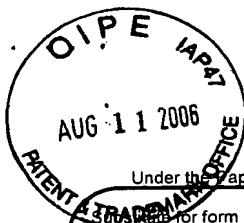
Date

Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/580,352

Filing Date 05/23/2006

First Named Inventor Tanaka Takashi

Art Unit unknown

Examiner Name unknown

Attorney Docket Number OT-5403

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		International Search Report and Written Opinion of the International Searching Authority for PCT/US04/41309 dated 05 June 2006.	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.